

# Executive-Legislative Bargaining: Inherent *Status-Quo Bias*

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# Agenda

- 1 Recapping the Presidency
- 2 Midterm Distributions
- 3 A Spatial Model of Policy Making

## Limited Judicial Influence of Checks

- ▶ Presidents appoint judges, which may limit opposition to their decisions
- ▶ Court legitimacy can be threatened in the wake of popular unilateral presidential action
- ▶ Leads to second constraint of judicial *check*: “While the Court is said to be an independent branch of government, then, its power and prestige are profoundly dependent on the executive.”
- ▶ Constant judicial incentive for restraint, even in event of ruling against the president, rulings can be *institutionally ambiguous*

## Presidential Ability to *Set the Agenda*

- ▶ What is one source of “power” that the president can draw upon?
- ▶ Only agent of the country at-large & is informally privileged to being the “first-mover” with respect to pursuing policies (State of the Union, President’s modern budget)
- ▶ How can presidents get Congress to act on their policy program according to Canes-Wrone?
- ▶ Going “public” on specific policies can mobilize public to pressure Congress to enact presidential agenda. Can you think of an example?
- ▶ Limits to “going public?”
- ▶ Presidents only go public on policies that are *popular* and in which they *need* support for Congress to act (presidents prefer sticky policies)
- ▶ Presidents privileged with *bully pulpit* of televised speeches

# Unilateral Presidency Recap

## Presidential Policy Making

Constitutionally Defined Process	Unilateral Presidency
<ul style="list-style-type: none"> <li>• Legislation</li> </ul>	<ul style="list-style-type: none"> <li>• Executive orders</li> </ul>
<ul style="list-style-type: none"> <li>• Veto (no item veto)</li> </ul>	<ul style="list-style-type: none"> <li>• Signing statements</li> </ul>
<ul style="list-style-type: none"> <li>• Congressional oversight</li> </ul>	<ul style="list-style-type: none"> <li>• Executive privilege</li> </ul>
<ul style="list-style-type: none"> <li>• Treaty with Senate approval</li> </ul>	<ul style="list-style-type: none"> <li>• Executive agreements</li> </ul>
<ul style="list-style-type: none"> <li>• Declaration of war; funding by Congress; commander in chief</li> </ul>	<ul style="list-style-type: none"> <li>• Presidential wars</li> </ul>

# Midterm Exam Distributions

## Exam Section Correlation Matrix

```
> cormat
```

```
multiple_choice short_answer analytical_question essay_question
multiple_choice      1.00      0.61      0.48      0.60
short_answer         0.61      1.00      0.45      0.69
analytical_question  0.48      0.45      1.00      0.50
essay_question       0.60      0.69      0.50      1.00
```

# Midterm Exam Distributions

## Summary Statistics (Raw Scores)

```
> summary(midterm$multiple_choice)
  Min. 1st Qu.  Median    Mean 3rd Qu.    Max.
  4.00  13.00  16.00  15.56  18.00  20.00

> summary(midterm$short_answer)
  Min. 1st Qu.  Median    Mean 3rd Qu.    Max.
  3.00  19.00  24.00  22.76  29.50  32.00

> summary(midterm$analytical_question)
  Min. 1st Qu.  Median    Mean 3rd Qu.    Max.
  0.00   5.75  13.00  10.24  13.50  15.00

> summary(midterm$essay_question)
  Min. 1st Qu.  Median    Mean 3rd Qu.    Max.
17.50  26.12  31.00  28.90  32.50  35.00

> summary(midterm$final_curved_grade)
  Min. 1st Qu.  Median    Mean 3rd Qu.    Max.
37.25  68.62  82.00  77.45  91.50 101.00
```

# Midterm Exam Distributions

## Summary Statistics (Section Percentages)

> `summary(percent$multiple_choice)`

Min.	1st Qu.	Median	Mean	3rd Qu.	Max.
20.00	65.00	80.00	77.78	90.00	100.00

> `summary(percent$short_answer)`

Min.	1st Qu.	Median	Mean	3rd Qu.	Max.
10.00	63.33	80.00	75.86	98.33	106.67

> `summary(percent$analytical_question)`

Min.	1st Qu.	Median	Mean	3rd Qu.	Max.
0.00	38.33	86.67	68.27	90.00	100.00

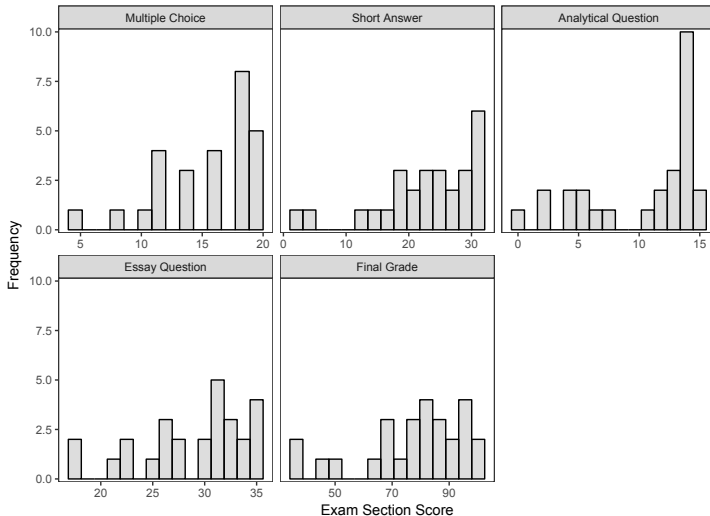
> `summary(percent$essay_question)`

Min.	1st Qu.	Median	Mean	3rd Qu.	Max.
50.00	74.64	88.57	82.57	92.86	100.00



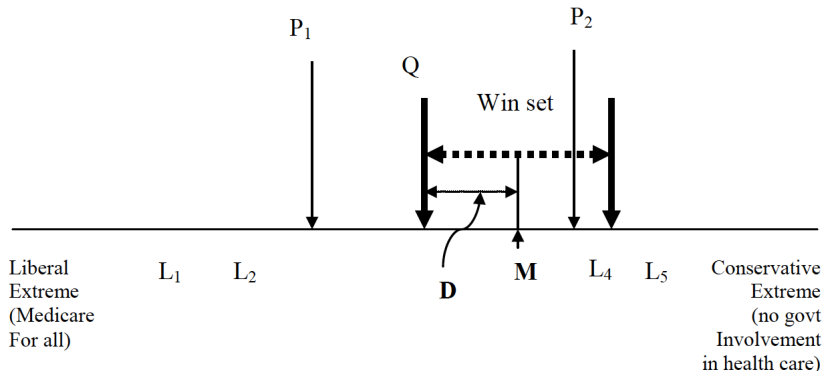
# Midterm Exam Distributions

Pol 1 Midterm Exam Histograms by Section, Summer 2017



# Krehbiel's *Pivotal Politics* Model

Consider the following model of a simple legislature:



## Simple Legislature

The following conditions apply to the model:

- ▶ Single, left-right dimension of conflict (in the example, on the issue of health care reform)
- ▶ Each legislator ( $L_1, L_2, L_3, L_4, L_5$ ) has an “ideal-point”, vote is by majority rule (i.e. majoritarian)
- ▶  $Q$  is the status quo policy. For legislators, the choice is always between  $Q$  and a proposal to change the status quo,  $P$ .
- ▶  $M$  is the median voter's ideal point. Recall that the median voter is the legislator in the **MIDDLE** of the distribution of legislators and not necessarily in the middle of the issue or ideological space. In other words, the median legislator need not be a moderate.
- ▶  $D$  is the distance between  $M$  &  $Q$  and the win-set is  $M + / - D$

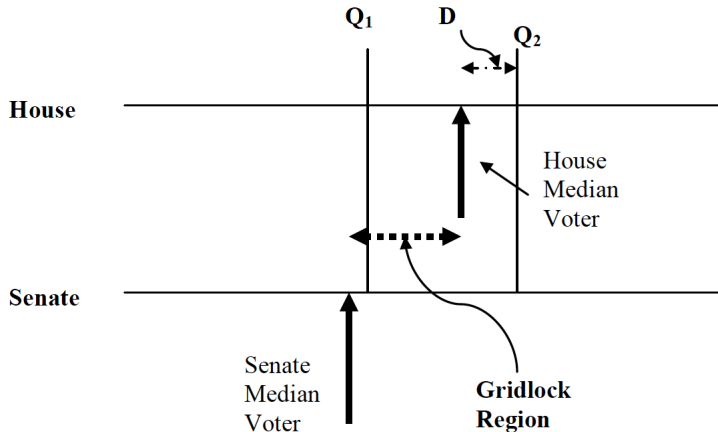
# Working through the Simple Legislature

Consider the following questions:

- ▶ Why does Proposal  $P_1$  fail and proposal  $P_2$  win. What do the legislative coalitions look like?
- ▶ What is the new win set if  $P_2$  passes and becomes the new  $Q$ ?
- ▶ Why will any policy proposal within the win set pass as an alternative to  $Q$ ?
- ▶ Why does policy converge to equilibrium at the preferences of the median voter  $M$ ? Under what conditions does policy change after it converges to  $M$ ?
- ▶ How does one change the location of  $M$ ?

# Krehbiel's *Pivotal Politics* Model

Now, consider the following model of a bicameral legislature:

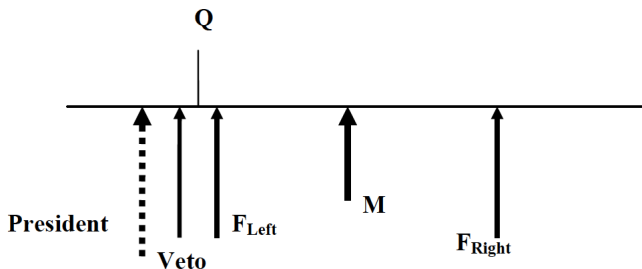


# Working through Bicameralism

- ▶ Why might the median voters  $M$  be located in different positions in the House & Senate?
- ▶ Consider that this is divided government in the 114<sup>th</sup> Congress with a conservative House & a relatively liberal Senate and the House wants to overturn Obamacare  $Q_1$  for a replacement proposal  $Q_2$ , why couldn't it change policy?
- ▶ Under what conditions could  $Q_1$  change?
- ▶ What happens if the status quo policy,  $Q_2$ , is outside the *gridlock region*?
- ▶ What is the “win set” for  $Q_2$ ? What happens if the Senate median voter moves in the direction of the House median voter, like it did following the 2014 elections?

# Krehbiel's *Pivotal Politics* Model

Now, consider the following Congress with extraordinary majorities:



Where:

- ▶  $M$  = median voter
- ▶  $F_{Left}$  = Liberal filibuster pivot
- ▶  $F_{Right}$  = Conservative filibuster pivot
- ▶  $Veto$  = Congressional veto pivot

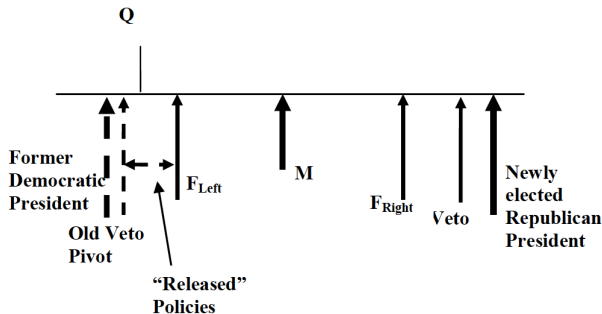
## Working Through Varying Majorities

- ▶ What is the rule for stopping a filibuster (*cloture*)? Explain what that means for the definition of the two filibuster pivots.
- ▶ What happens to the gridlock region under an extraordinary-majority rule such as the filibuster? Who is pivotal & under what conditions?
- ▶ What is a filibuster-proof majority?
- ▶ How does partisan polarization affect the placement of the Left and Right filibusters in the absence of a filibuster-proof majority?
- ▶ Why do you think the model drops bicameralism? Under what conditions would the unicameral model be inaccurate?
- ▶ What is the rule for overriding a presidential veto? Explain what that means for the definition of the veto pivot.
- ▶ Why is the veto pivot **ALWAYS** on the same side as the president?



# Changing the Presidential *Pivot*

Now, consider the following Congress with presidential turnover:



- ▶ What happens to  $Q$ ? What's the win set for  $Q$ ?
- ▶ What policy  $P$  should  $M$  propose? Why?
- ▶ Why my "released policies", such as  $Q$  in this example, contribute to the appearance of a presidential honeymoon?